

**BAKER BOTTS LLP**

# FEE TRANSMITTAL for FY 2003

*Effective 10/01/2003. Patent fees are subject to annual revision.*☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 180**Complete if Known**

Application Number	09/938,744
Filing Date	August 24, 2001
First Named Inventor	Yumin Mao et al.
Examiner Name	Whisenant, Ethan C.
Art Unit	1634
Attorney Docket No.	A34606-PCT-USA-A

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee required under 37CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent	Multiple Dependent					
		- 20 =	0	X		0
		- 3 =	0	X		0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180

**SUBMITTED BY**

Name (Print/Type)

Rochelle K. Seide

Registration No.  
(Attorney/Agent)

32,300

(Complete (if applicable))

Telephone

(212) 408-2500

Signature

Rochelle K. Seide

Date

November 13, 2003



A34606-PCT-USA-A 072975.0111  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yumin Mao et al.  
Serial No. : 09/938,744 Examiner : Whisenant, Ethan C.  
Filed : August 24, 2001 Group Art Unit : 1634  
For : METHOD FOR LARGE SCALE cDNA CLONING AND SEQUENCING  
BY CIRCULATING SUBTRACTION

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT**

I hereby certify that this paper is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 13, 2003

Date of Deposit

Rochelle K. Seide

Attorney Name

Rochelle K. Seide  
Signature

32,300

PTO Registration No.

November 13, 2003

Date of Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §1.56 and §1.97(c)(2), Applicant respectfully  
requests that the documents relating to the above-identified application listed herein in reverse  
chronological alphabetical order be considered and made of record in the U.S. Patent and  
Trademark Office.

1. Birkenmeyer LG et al., U.S. Patent No. 6,455,255 issued on September 24, 2002;

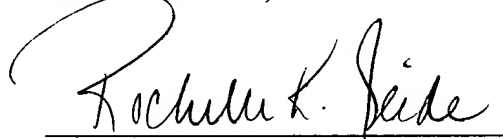
2. Fisher PB et al., U.S. Patent No. 6,051,376 issued on April 18, 2000; and
3. Fisher PB et al., U.S. Patent No. 5,643,761 issued on July 1, 1997.

The referenced citations are listed in the accompanying PTO Form 1449. Copies of the documents are submitted herewith in one bound volume. Identification of the documents listed in the attached PTO Form 1449 is not to be construed as an admission of Applicants or Attorneys for Applicants that such documents are available as "prior art" against the above-identified application.

Applicants enclose herewith the fee required under 37 C.F.R. §1.17(p) and §1.97(c)(2). Although Applicants do not believe that any additional fees are required with this paper, the Commissioner is hereby authorized to charge any fees occasioned by this submission not otherwise enclosed herewith to Deposit Account No. 02-4377. Please credit any overpayment of fees associated with this filing to the above-identified deposit account. A duplicate of this page is enclosed.

Respectfully submitted,

BAKER BOTTS, L.L.P.



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November 13, 2003

Enclosures

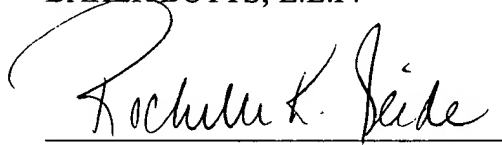
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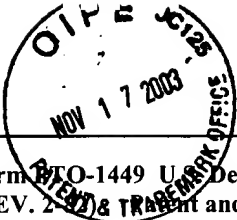
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November 13, 2003

Enclosures

Form PTO-1449 U.S. Department of Commerce  
(REV. 2-2002) Patent and Trademark OfficeAtty. Docket No.  
A34606-PCT-USA-ASerial No.  
09/938,744**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

(Use several sheets if necessary)

Applicant  
Yumin Mao et al.Filing Date  
August 24, 2001Group  
1634**U.S. PATENT DOCUMENTS**

*Exam. Init.		Document No.							Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	6	4	5	5	2	5	5	09/24/2002	Birkenmeyer LG et al.			
	2.	6	0	5	1	3	7	6	04/18/2000	Fisher PB et al			
	3.	5	6	4	3	7	6	1	07/01/1997	Fisher PB et al			

**FOREIGN PATENT DOCUMENT**

		Document No.							Date	Country	Class	SubClass	Translator	
													Yes	No

**OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)**


NY02:465493.1

Examiner

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.